TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 04995/117001 In Re Application Of: Ryuji HAYASHI et al. Serial No. Filing Date Examiner **Group Art Unit** Herewith Title: BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. \( \bar{\sqrt{2}} \) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. 

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR  $\Box$  the fee set forth in 37 CFR 1.17(p). 22511 PATENT TRADEMARK OFFICE

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 04995/117001 In Re Application: Ryuji HAYASHI et al. Examiner Group Art Unit Serial No. Filing Date Herewith **BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS** Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) □ A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is Patent and Trademark Office (F: addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate \*This certificate may only be used if paying by deposit account. Jonathan P. Ösha, Reg. No. 33,986 ROSENTHAL & OSHA L.L.P. 1221 McKinney Street, Suite 2800 Houston, TX 77010 Tel: 713-228-8600 Fax: 713-228-8778 CC:

04995/117001 Applicant(s) INFORMATION DISCLOSURE CITATION Ryuji HAYASHI et al. (Use several sheets if necessary) Filing Date Group Art Unit Herewith **U.S. PATENT DOCUMENTS** EXAMINER FILING DATE CLASS SUBCLASS DOCUMENT NUMBER NAME REF DATE INITIAL IF APPROPRIATE FOREIGN PATENT DOCUMENTS Translation REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO A1 P3-8160 01/16/1991 Japan **G11B** 15/22 P5-114199 05/07/1993 G11B 15/22 A2 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Docket Number (Optional)

**Application Number** 

Docket No. **CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** Applicant(s): Ryuji HAYASHI et al. 04995/117001 Filing Date Examiner **Group Art Unit** Serial No. Herewith **BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS** Invention: I hereby certify that the following correspondence: Information Disclosure Statement (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 9/9/03 (Date) **Peggy Louie** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mading Correspondence) EV322008914US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.